PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No. 005275.P001                            | _                         |
|--|---------------------------|
| (maximum 12 characters) First Named Inventor You Mon Tsang | -                         |
| Title: SYSTEM OF ANALYZING NETWORKED SEARCHE               | S WITHIN BUSINESS MARKETS |
| Express Mail Label NoEL627471441US                         |                           |

ADDRESS TO: **Assistant Commissioner for Patents** 

**Box Patent Application** Washington, D. C. 20231

|    |  | ELEMENTS pter 600 concerning utility patent application contents.   |  |  |
|----|--|---|--|--|
| 1. | <u>x</u>   | Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)   |  |  |
| 2. | <u>x</u>   | Applicant Claims Small Entity Status. (37 CFR 1.27)   |  |  |
| 3. | <u>X</u>   | Specification (Total Pages 33 (preferred arrangement set forth below)  - Descriptive Title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure |  |  |
| 4. | X  | Drawings(s) (35 USC 113) (Total Sheets 10 )   |  |  |
| 5. | X  | Oath or Declaration (Total Pages <u>5</u> )   |  |  |
|    |  | a Newly Executed (Original or Copy)   |  |  |
|    |  | b. Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)   |  |  |
|    |  | <ul> <li>i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>   |  |  |
|    |  | c. X Unsigned.  |  |  |
| 6. |  | Application Data Sheet. (37 CFR 1.76)   |  |  |
| 7. | <del>,                                      </del> | CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |  |  |
| 8. | (if applicable,                                    | Nucleotide and/or Amino Acid Sequence Submission all necessary) Computer Readable Form (CRF)  |  |  |
|    | b  | Specification Sequence Listing on: i CD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies  |  |  |

| ACCOMPANYING APPLICATION PART |   |  |  |  |  |
|-------------------------------|---|--|--|--|--|
| <b>9.</b>                     | Assignment Pap rs (c v r sh t & d cuments(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)   |  |  |  |  |
|                               | b. Power of Attorney  |  |  |  |  |
| 11                            | English Translation Document (if applicable)  |  |  |  |  |
| 12                            | a. Information Disclosure Statement (IDS)/PTO-1449  |  |  |  |  |
|                               | b. Copies of IDS Citations  |  |  |  |  |
|                               | Preliminary Amendment   |  |  |  |  |
| 14. <u>X</u>                  | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |  |  |  |  |
| 15                            | Certified Copy of Priority Document(s) (if foreign priority is claimed)   |  |  |  |  |
|                               | 16. X Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |  |  |  |  |
| 17. <u>X</u>                  | Other: Express Mail Certification   |  |  |  |  |
|                               |   |  |  |  |  |
|                               | INUING APPLICATION, check appropriate box and supply the requisite information:   |  |  |  |  |
| Of Prior Applic               | tinuation Divisional Continuation-in-part (CIP) cation No.: Examiner Group Art Unit   |  |  |  |  |
| (which is a co                | ontinuation/ divisional/ CIP of prior application no, ontinuation/ divisional/ CIP of prior application no, (List entire chain of priority)   |  |  |  |  |
| 1                             |   |  |  |  |  |
| an oath or declar             | ION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which ration is supplied under Box 5b, is considered a part of the disclosure of the accompanying divisional application and is hereby incorporated by reference. The incorporation can only then a portion has been inadvertently omitted from the submitted application parts. |  |  |  |  |
| 18B. Statement                | under 37 CFR 3.73(b) for continuing application:  |  |  |  |  |
| The under                     | signed states that (name of assignee) is the entire right, title, and interest in the accompanying patent application by virtue of an   |  |  |  |  |
| assignment reco               | orded in the Patent and Trademark Office at Reel. No Frame No   |  |  |  |  |
| (or a copy of whi             | ich is attached).   |  |  |  |  |
| 19. Corresp                   | pondence Address  |  |  |  |  |
| Custom                        | er Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here)  |  |  |  |  |
| X Corresp                     | or (Insert Customer No. or Attach Bar Code Label here) ondence Address Below  |  |  |  |  |
| NAME James                    | H. Salter   |  |  |  |  |
|                               | KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP   |  |  |  |  |
| ADDRESS <u>1</u>              | 2400 Wilshire Boulevard   |  |  |  |  |
|                               | Seventh Floor   |  |  |  |  |
| CITY Los Ange                 | les STATE California ZIP CODE 90025-1026  |  |  |  |  |
| Country <u>U.S</u>            | .A. TELEPHONE (408) 720-8300 FAX (408) 720-9397   |  |  |  |  |
|                               |   |  |  |  |  |
| Name (PRINT/TY                |   |  |  |  |  |
| Signature:                    | Date: 2/7/6/  |  |  |  |  |

11/28/00



| <u> </u>   |                                  |
|--|----------------------------------|
| FEE TRANSMITTAL  | FOR FY 2001                      |
| TOTAL AMOUNT OF PAYME  | ENT (\$) \$373.00                |
| Complete if Known:  Application No. New Application  Filing Date Herewith  First Named Inventor You Mon Tsang  Group Art Unit Not Yet Assigned  Examiner Name Not Yet Assigned |                                  |
| Attorney Docket No. 005275.P001  |                                  |
| METHOD OF PAYMENT (check one)  |                                  |
| The Commissioner is hereby authorized to any over payments to:   | charge indicated fees and credit |
| Deposit Account Number <u>02-2666</u><br>Deposit Account Name <u>Blakely, Soko</u>   | loff, Taylor & Zafman            |
| [ X ] Charge Any Additional Fee Required Unde  | r 37 CFR 1.16 and 1.17           |
| [ X ] Applicant claims small entity status. See  | 37 CFR 1.27                      |
| 2. X Payment Enclosed: X Check Credit Ca Money O Other   |                                  |
| FEE CALCULATION  |                                  |
| 1. BASIC FILING FEE  |                                  |
| Large Entity Small Entity  |                                  |
| Fee Fee Fee  | For Boid                         |
| Code (\$) Code (\$) Fee Description  | Fee Paid                         |
| 101   710   201   355   Utility application filing fe<br>  106   320   206   160   Design application filing fe  |                                  |
| 100 320 200 100 Design application liling i  | <u> </u>                         |
| 108 710 208 355 Reissue filing fee   |                                  |
| 114 150 214 75 Provisional application fil   | ing fee                          |
|  |                                  |
|  | SUBTOTAL (1) \$ <u>355.00</u>    |
| 2. <u>EXTRA CLAIM FEES</u> <u>Extra Claims</u>   | Fee from <u>Fee Paid</u>         |
| Total Claims 21 - 20** = 1   | X 18 = 18.00                     |
| Independent Claims 3 - 3** = 0   | X = 80 = 0.00                    |
| Multiple Dependent   | =                                |
| **Or number previously paid, if greater; For Reissues,   | see below.                       |
| Large Entity Small Entity  |                                  |
| Fee Fee Fee Fee  |                                  |
| Code (\$) Code (\$) Fee Description  |                                  |
| 103 18 203 9 Claims in xcess f 20  |                                  |
| 102 80 202 40 Ind pendent claims in xc   | ss of 3                          |
| 104 270 204 135 Multipl dependent claim, i   |                                  |
| 109 80 209 40 **R issu ind p ndent clai  |                                  |
|  | of 20 and ver riginal pat nt     |

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SUBTOTAL (2) \$\_18.00

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large  |            | <u>Small E</u> |               |   |
|--|------------|----------------|---------------|---|
| Fee  | Fee        | F              | Fe            |   |
| Code   | (\$)       | Code           | (\$)          | Fee Description Fee Paid  |
| 105  | 130        | 205            | 65            | Surcharge - late filing fee or oath                                       |
| 127  | 50         | 227            | 25            | Surcharge - late provisional filing fee<br>or cover sheet                 |
| 100  | 400        | 120            | 120           |   |
| 139  | 130        | 139            | 130           | Non-English specification For filing a request for ex parte reexamination |
| 147  | 2,520      | 147            | 2,520<br>920* | Requesting publication of SIR prior to                                    |
| 112  | 920*       | 112            | 920"          | Examiner action   |
| 113  | 1,840*     | 113            | 1,840*        | Requesting publication of SIR after                                       |
| 10   | 1,040      | 1.0            | 1,040         | Examiner action   |
| 115  | 110        | 215            | 55            | Extension for reply within first month                                    |
| 116  | 390        | 216            | 195           | Extension for reply within second month                                   |
| 117  | 890        | 217            | 445           | Extension for reply within third month                                    |
| 118  | 1,390      | 218            | 695           | Extension for reply within fourth month                                   |
| 128  | 1,890      | 228            | 945           | Extension for reply within fifth month                                    |
| 119  | 310        | 219            | 155           | Notice of Appeal  |
| 120  | 310        | 220            | 155           | Filing a brief in support of an appeal                                    |
| 121  | 270        | 221            | 135           | Request for oral hearing  |
| 138  | 1,510      | 138            | 1,510         | Petition to institute a public use proceeding                             |
| 140  | 110        | 240            | 55            | Petition to revive – unavoidable  |
| 141  | 1,240      | 241            | 620           | Petition to revive - unintentional  |
| 142  | 1,240      | 242            | 620           | Utility issue fee (or reissue)  |
| 143  | 440        | 243            | 220           | Design issue fee  |
| 144  | 600        | 244            | 300           | Plant issue fee   |
| 122  | 130        | 122            | 130           | Petitions to the Commissioner   |
| 123  | 50         | 123            | 50            | Processing fee under 37 CFR 1.17(q)                                       |
| 126  | 180        | 126            | 180           | Submission of Information Disclosure Stmt                                 |
| 581  | 40         | 581            | 40            | Recording each patent assignment per                                      |
|  |            |                |               | property (times number of properties)                                     |
| 146  | 710        | 246            | 355           | For filing a submission after final rejection                             |
|  |            |                |               | (see 37 CFR 1.129(a))   |
| 149  | 710        | 249            | 355           | For each additional invention to be examined                              |
|  |            |                |               | (see 37 CFR 1.129(b))   |
| 179  | 710        | 279            | 355           | Request for Continued Examination (RCE)                                   |
| 169  | 900        | 169            | 900           | Request for expedited examination of a design                             |
|  |            |                |               | application   |
| 195  | 300        | 195            | 300           | Publication fee for early, voluntary, or normal                           |
| 400  |            | 400            | 202           | publication   |
| 196  | 300        | 196            | 300           | Publication fee for republication   |
| 194  | 130        | 194            | 130           | Request for voluntary publication or republication                        |
| 098  | 130        | 098            | 130           | Processing fee under 37 CFR 1.17(i)                                       |
| 091  | 1,240      | 091            | 1,240         | Acceptance of unintentionally delayed claim for priority                  |
| Other  | fee (speci | fy)            |               |   |
| Other  | fee (speci | fv)            |               |   |
| 061  | .se (opeon | -,,            |               |   |
| *Reduc   | ed by Raei | c Filing Fee   | Paid          | SUBTOTAL (3) \$ <u>0</u>  |
| *Reduced by Basic Filing Fee Pald SUBMITTED BY:                    |            |                |               |   |
|  |            |                |               |   |
| Typ d r Printed Nam : James H. Salter                              |            |                |               |   |
| Signature: Dat : $2/7/s_l$   |            |                |               |   |
| Reg. Number: <u>35,668</u> Teleph ne Number: <u>(408) 720-8300</u> |            |                |               |   |

WARNING: Information on this f rm may b c me public. Credit card inf rmation should not be included on this form. Provide cr dit card informati n and auth rizati n n PTO-2038.

PTO/SB/35(11-00) Approved for use through 10/31/2002. OMB 0651-0031

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| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)  |   |  |
|--|---|--|
| First Named Inventor You Mon Tsang Title SYSTEM FOR PROCESSING LOAN APPLICATIONS O   | VER A NETWORK   |  |
| Attorney Docket No. <u>005074.P001</u>   |   |  |
| I hereby certify that the invention disclosed in the attached of an application filed in another country, or under a multilate eighteen months after filing. I hereby request that the attached U.S.C. 122(b). | Signature  James H. Salter  Typed or Printed Name     |  |
|  | 35,668  Registration No.                              |  |
| This request must be signed in compliance with 37 CFR 1 filing.  | .33(b) and submitted with the application <b>upon</b> |  |

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

11/28/00 - 3 -



| "Express Mail" mailing label number: Date of Deposit: February 7, 2  | 2001   |  |
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| I hereby certify that I am causing this paper or fee to be deposited with<br>the United States Postal Service "Express Mail Post Office to |  |  |
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| Washington, D. C. 20231  | tant commissioner for raterias,  |  |
| Carrie Boccaccini  |  |  |
| (Typed or printed name of person ma  | ailing paper or fee)   |  |
| The Indiana  |  |  |
| Signature of person mailing paper or   | r fee)   |  |
| 2-7-2001   | ·  |  |
| (Date signed)  |  |  |
|  |  |  |
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| · · · · · · · · · · · · · · · · · · ·  | A CONTRACTOR OF THE CONTRACTOR |  |
| Serial/Patent No : ****  |  |  |
| Serial/Patent No.: **** Client: Biz360, Inc.   | Filing/Issue Date: Herewith  |  |
| Title: CYCTEM OF ANALYTIC MUNT   | ODERNO OTATOMA   |  |
| MARKETS  | ORKED SEARCHES WITHIN BUSINESS   |  |
| BSTZ File No.: 005275.P001   | Attu/Seets List I. THE/ETT/ech   |  |
| Date Mailed: February 7, 2001  | Atty/Secty Initials: JHS/SLL/cab  Docket Due Date: ****  |  |
| The following has been received in the U.S. P.   | atent & Trademark Office on the date stamped hereon:   |  |
| Amendment/Kesponse (pgs.)  | Express Mail No.: EL627471441US Check No.40786   |  |
| Appeal Brief ( pgs.) (in triplicate)   | Month(s) Extension of Time Amt: 373.00   |  |
| Application - Utility (33 pgs., with cover and abstract)   | ☐ Information Disclosure Statement & PTO 1449 (_ pgs.) ☐ Check No.   |  |
| Application - Rule 1.53(b) Continuation ( pgs.)  | ☐ Issue Fee Transmittal Amt:   |  |
| Application - Rule 1.53(b) Divisional ( pgs.)  | □ Notice of Appeal   |  |
| Application - Rule 1.53(b) CIP ( pgs.)   | Petition for Extension of Time   |  |
| Application - Rule 1.53(d) CPA Transmittal ( pgs.)   | Petition for   |  |
| Application - Design (pgs.)  | Postcard   |  |
|  | Power of Attorney ( pgs.)  |  |
| pgs.)  | Preliminary Amendment ( pgs.)  |  |
| Assignment and Cover Sheet Certificate of Mailing  | Reply Brief ( pgs.)  |  |
| Declaration & POA (5 pgs.) (unsigned)  | Response to Notice of Missing Parts  |  |
| Disclosur Dors & Oig & Copy of Inentots Signed Letterpg)   | Small Entity Declaration for Indep. Inventor/Small Business  |  |
| Drawings: 10 # of sheets includes 10 figures   | Transmittal Letter, in duplicate   |  |
| figures  | Fee Transmittal, in duplicate  |  |
| Other:   |  |  |
|  | · •  |  |
|  |  |  |